SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

Check this box if no longer subject o Section 16. Form 4 or Form 5	
obligations may continue. See nstruction 1(b).	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add	, ,	J Person [*]	2. Issuer Name and Ticker or Trading Symbol Cardlytics, Inc. [CDLX]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Grimes Scott D.</u>				X	Director	10% Owner				
(Last) C/O CARDLY 675 PONCE I		(Middle) ENUE NE, SUITE	- 3. Date of Earliest Transaction (Month/Day/Year) 12/16/2021		Officer (give title below)	Other (specify below)				
6000			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	6. Individual or Joint/Group Filing (Check Ap Line)					
(Street)			-	X	Form filed by One Re	porting Person				
ATLANTA	GA	30308			Form filed by More th Person	an One Reporting				
(City)	(State)	(Zip)	_							
		Table I - Non-Deriv	vative Securities Acquired, Disposed of, or Bene	ficially	v Owned					

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(1150.4)	(Instr. 4)
Common Stock	12/31/2020		w	v	55,832	A	\$0	55,832	I	See Footnote ⁽¹⁾
Common Stock	12/16/2021		S ⁽²⁾		640	D	\$63.03 ⁽³⁾	54,472	Ι	See Footnote ⁽⁴⁾
Common Stock	12/16/2021		S ⁽²⁾		640	D	\$63.88 ⁽⁵⁾	53,832	I	See Footnote ⁽⁴⁾
Common Stock	12/16/2021		S ⁽²⁾		320	D	\$65.12 ⁽⁶⁾	53,512	I	See Footnote ⁽⁴⁾
Common Stock	12/16/2021		S ⁽²⁾		400	D	\$66 ⁽⁷⁾	53,112	I	See Footnote ⁽⁴⁾
Common Stock	12/20/2021		S ⁽⁸⁾		2,002	D	\$61.96 ⁽⁹⁾	254,026	D	
Common Stock	12/20/2021		S ⁽⁸⁾		2,498	D	\$62.73(10)	251,528	D	
Common Stock	12/20/2021		S ⁽⁸⁾		1,300	D	\$63.88(11)	250,228	D	
Common Stock	12/20/2021		S ⁽⁸⁾		200	D	\$64.66 ⁽¹²⁾	250,028	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

				-			-								
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The reportable securities are held by the UTMA Custodial account fbo Pierce Grimes.

2. These sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the trustee of the 2013 Scott Grimes GRAT UAD (the "GRAT") on November 15, 2019, as amended March 12, 2020. 3. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$62.45 to \$63.43, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes (3), (5), (6), (7), (9), (10), (11) and (12) to this Form 4.

4. The reportable securities are held by the GRAT.

5. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$63.45 to \$64.43, inclusive.

6. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$64.56 to \$65.55, inclusive.

7. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$65.62 to \$66.60, inclusive.

8. These sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on November 12, 2020, as amended June 15, 2021.

9. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$61.34 to \$62.30, inclusive. 10. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$62.34 to \$63.27, inclusive.

11. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$63.60 to \$64.50, inclusive.

12. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$64.61 to \$64.70, inclusive.

Remarks:

/s/ Kirk Somers, Attorney-in-12/20/2021

Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.