SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Instruction 1(b).	F	Filed pursuant to Section 16(a) of the Securities Exchange Act of 193	34		nours per res	sponse: C	
			or Section 30(h) of the Investment Company Act of 1940		-			
1. Name and Address of Reporting Person* Grimes Scott D.		g Person [*]	2. Issuer Name and Ticker or Trading Symbol Cardlytics, Inc. [CDLX]		ationship of R < all applicabl	Reporting Person(s) to Issuer ble)		
				X	Director		10% Owner	
(Last) (First) (Middle)			—	-	Officer (giv	e title	Other (specify below)	
		(Middle)	3. Date of Earliest Transaction (Month/Day/Year)		below)	Delow)		
C/O CARDL	YTICS, INC.		11/05/2021					
675 PONCE	DE LEON AVI	ENUE NE, SUITE						
6000 (Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group Filing (Check Applicab			
				X	Form filed by One Reporting Person			
ATLANTA	GA	30308			Form filed Person	by More thar	n One Reporting	
(City)	(State)	(Zip)						
		Table I - Non-Der	ivative Securities Acquired, Disposed of, or Ben	eficially	v Owned			

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	11/05/2021		S ⁽¹⁾		9,493	D	\$90.13 ⁽²⁾	255,556	D	
Common Stock	11/05/2021		S ⁽¹⁾		5,991	D	\$90.41 ⁽³⁾	249,565	D	
Common Stock	11/05/2021		S ⁽¹⁾		1,500	D	\$92.31(4)	248,065	D	
Common Stock	11/05/2021		S ⁽¹⁾		1,200	D	\$92.95(5)	246,865	D	
Common Stock								59,112	Ι	See Footnote ⁽⁶⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) of Dispo of (D)	erivative ecurities cquired A) or isposed f (D) nstr. 3, 4		Expiration Date Amoun			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Shares sold to satisfy withholding tax obligations upon the delivery of shares of common stock for performance stock units that vested on October 6, 2021.

2. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$89.35 to \$90.345, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes (2), (3), (4) and (5) to this Form 4.

3. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$90.35 to \$90.54, inclusive.

4. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$91.88 to \$92.85, inclusive.

5. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$92.90 to \$92.98, inclusive.

6. The reportable securities are held by the 2013 Scott Grimes GRAT UAD.

Remarks:

/s/ Kirk Somers, Attorney-in-

Fact

11/08/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.