SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-028									
Estimated average burden									
hours per response	: 0.5								

Check this box if no longer subject	
to Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Grimes Scott D.		son*	2. Issuer Name and Ticker or Trading Symbol Cardlytics, Inc. [CDLX]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
				X	Director	10% Owner			
					Officer (give title	Other (specify			
(Last)	(Last) (First) (Middle)		3. Date of Earliest Transaction (Month/Day/Year)		below)	below)			
C/O CARDLYTICS, INC. 675 PONCE DE LEON AVENUE NE, SUITE 6000			01/04/2021						
		E NE, SUITE							
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	ndividual or Joint/Group Filing (Check Applicable e)				
(Street)				X	Form filed by One Reporting Person				
ATLANTA	TA GA 30308				Form filed by More th Person	an One Reporting			
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(1150.4)	(Instr. 4)	
Common Stock	01/04/2021		S ⁽¹⁾		838	D	\$135.82 ⁽²⁾	100,274	I	See Footnote ⁽³⁾	
Common Stock	01/04/2021		S ⁽¹⁾		363	D	\$136.78 ⁽⁴⁾	99,911	I	See Footnote ⁽³⁾	
Common Stock	01/04/2021		S ⁽¹⁾		498	D	\$138.16 ⁽⁵⁾	99,413	I	See Footnote ⁽³⁾	
Common Stock	01/04/2021		S ⁽¹⁾		101	D	\$139.65 ⁽⁶⁾	99,312	I	See Footnote ⁽³⁾	
Common Stock	01/04/2021		S ⁽¹⁾		200	D	\$140.15	99,112	I	See Footnote ⁽³⁾	
Common Stock								242,908	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. These sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the trustee of the 2013 Scott Grimes GRAT UAD (the "GRAT") on November 15, 2019, as amended March 12, 2020. 2. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$135.29 to \$136.24, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the

ranges set forth in footnotes (2), (4), (5) and (6) to this Form 4. 3. The reportable securities are held by the 2013 Scott Grimes GRAT UAD.

4. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$136.33 to \$137.19, inclusive.

5. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$137.75 to \$138.65, inclusive.

6. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$139.08 to \$139.66, inclusive.

Remarks:

<u>/s/ Kirk Somers, Attorney-in-</u> Fact <u>01/05/2021</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \star If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.