SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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OMB Number:	3235-0287
Estimated average	burden
hours per response	: 0.5

Section 16. Form 4 or Form 5 igations may continue. See truction 1(b).	Filed	pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940	_
		2 Januar Nama and Tiskar as Trading Cumbel	

Instruction 1(b).	ame and Address of Reporting Person* 2. Issuer Name and Ticker or Trading Symbol cimes Scott D. 2. Issuer Name and Ticker or Trading Symbol st) (First) (Middle) D CARDLYTICS, INC. 3. Date of Earliest Transaction (Month/Day/Year) 5 PONCE DE LEON AVENUE NE, SUITE 12/02/2021 eet) 4. If Amendment, Date of Original Filed (Month/Day LANTA GA 30308					Lungar ber lest	50113C.	0.5
			or Section 30(h) of the Investment Company Act of 1940					
	or Section 30(h) of the ir s of Reporting Person* D. (First) (Middle) CS, INC. LEON AVENUE NE, SUITE GA 30308				tionship of F all applicat		on(s) to Issuer	
Gimes Scou	<u>г D.</u>			X	Director		10% Owner	
,					Officer (gi	ve title	Other (specify	/
(Last)	(First)	(Middle)			below)		below)	
C/O CARDLY	ΓICS, INC.		12/02/2021					
675 PONCE DI	E LEON AVENUI	E NE, SUITE						
6000			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applic Line)				
(Street)				X	X Form filed by One Reporting Person			
ATLANTA	GA	30308			Form filed Person	by More than	One Reporting	
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount (A) or (D)		Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	12/02/2021		S ⁽¹⁾		1,700	D	\$64.34 ⁽²⁾	55,412	Ι	See Footnote ⁽³⁾	
Common Stock	12/02/2021		S ⁽¹⁾		300	D	\$ 65.23 ⁽⁴⁾	55,112	I	See Footnote ⁽³⁾	
Common Stock	12/03/2021		S ⁽⁵⁾		1,100	D	\$61.43(6)	239,859	D		
Common Stock	12/03/2021		S ⁽⁵⁾		3,816	D	\$62.23(7)	236,043	D		
Common Stock	12/03/2021		S ⁽⁵⁾		684	D	\$62.99(8)	235,359	D		
Common Stock	12/03/2021		S ⁽⁵⁾		300	D	\$64.06(9)	235,059	D		
Common Stock	12/03/2021		S ⁽⁵⁾		100	D	\$65.2 ⁽¹⁰⁾	234,959	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) of Dispo of (D) (Instr	5. Number 6. Date Exercisable and of Expiration Date Derivative (Month/Day/Year) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expiration Date Amount of			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. These sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the trustee of the 2013 Scott Grimes GRAT UAD (the "GRAT") on November 15, 2019, as amended March 12, 2020. 2. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$63.82 to \$64.80, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes (2), (4), (6), (7), (8), (9), and (10) to this Form 4.

3. The reportable securities are held by the GRAT.

4. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$64.83 to \$65.43, inclusive.

5. These sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on November 12, 2020, as amended June 15, 2021.

6. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$60.85 to \$61.78, inclusive.

7. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$61.85 to \$62.84, inclusive.

8. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$62.865 to \$63.17, inclusive.

9. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$64.05 to \$64.08, inclusive.

10. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$65.19 to \$65.35, inclusive.

Remarks:

/s/ Kirk Somers, Attorney-in-12/06/2021 Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.