FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

wasnington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

See Footnote Common Stock O8/08/2019 Set See Footnote See See Footnote See See Footnote See S	1. Name and Addi Youngren B	ress of Reporting Porting Porting Portion	2. Issuer Name and Ticker or Trading Symbol Cardlytics, Inc. [CDLX]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner						
A. If Amendmente, Date of Original Field (Month/Day/Year) Companies (State) Comp	• •	` ,														
Second Part	ONE MARINA PARK DRIVE, 10TH FL.															
Table 1 - Non-Derivative Securities Disposed of, or Beneficially Owned				0								X Form filed by One Reporting Person Form filed by More than One Reporting				
2. Transaction Date Production Common Stock Date Date Production Common Stock Date Dat	(City)	(State)	(Zip)													
December			Table I -		1	1	red,				cially			I		
Common Stock 08/08/2019 8/0 6.195 D \$30.4383° 1.998.296 I See Footnote of Stock 08/08/2019 8/0 72.254 D \$31.4273° 1.926.042 I See Footnote of Stock 08/08/2019 8/0 17.272 D \$32.3527° 1.908.770 I See Footnote of Stock 08/08/2019 8/0 17.272 D \$33.0413° 1.997.998 I See Footnote of Stock 08/08/2019 8/0 121 D \$30.4383° 38.941 I See Footnote of Stock 08/08/2019 8/0 1.408 D \$31.4273° 37.533 I See Footnote of Stock 08/08/2019 8/0 1.408 D \$31.4273° 37.533 I See Footnote of Stock 08/08/2019 8/0 337 D \$32.3527° 37.196 I See Footnote of Stock 08/08/2019 8/0 42 D \$30.4383° 37.181 I See Footnote of Stock 08/08/2019 8/0 495 D \$31.4273° 13.190 I See Footnote of Stock 08/08/2019 8/0 495 D \$31.4273° 13.071 I See Footnote of Stock 08/08/2019 8/0 495 D \$31.4273° 13.071 I See Footnote of Stock 08/08/2019 8/0 495 D \$31.4273° 13.071 I See Footnote of Stock 08/08/2019 8/0 495 D \$31.4273° 13.071 I See Footnote of Stock 08/08/2019 8/0 495 D \$31.4273° 13.071 I See Footnote of Stock 08/08/2019 8/0 495 D \$31.4273° 13.071 I See Footnote of Stock 08/08/2019 8/0 495 D \$31.4273° 13.071 I See Footnote of Stock 08/08/2019 8/0 495 D \$31.4273° 13.071 I See Footnote of Stock 08/08/2019 8/0 495 D \$31.4273° 13.071 I See Footnote of Stock 08/08/2019 8/0 495 D \$31.4273° 19.279 I See Footnote of Stock 08/08/2019 8/0 495 D \$31.4273° 19.279 I See Footnote of Stock 08/08/2019 8/0 495 D \$31.4273° 19.279 I See Footnote of Stock 08/08/2019 8/0 495 D \$31.4273° 19.279 I See Footnote of Stock 08/08/2019 8/0 495 D \$31.4273° 19.279 I See Footnote of Stock 08/08/2019 8/0 495 D \$31.4273° 19.279 I See Footnote of Stock 08/08/2019 8/0 495 D \$31.4273° 19.279 I	1. Title of Securit	ty (Instr. 3)	Date	Execution Date, if any	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and			5)	Securities Beneficially Owned Following	Form: Direct (D) or Indirect	Indirect Beneficial Ownership			
Common Stock 08/08/2019 Sto. 6.195 D \$30.4383'd 1,998,296 I Footnoted Common Stock 08/08/2019 \$(1) 72,254 D \$31.4273'd 1,908,770 I See Pootnoted Common Stock 08/08/2019 \$(1) 17,272 D \$32.3527'd 1,908,770 I See Pootnoted Common Stock 08/08/2019 \$(1) 772 D \$33.0413'd 1,907,998 I See Pootnoted Common Stock 08/08/2019 \$(1) 121 D \$30.4383'd 38,941 I See Pootnoted Common Stock 08/08/2019 \$(1) 1,408 D \$31.4273'd 37,533 I See Footnoted Common Stock 08/08/2019 \$(1) 337 D \$33.0413'd 37,196 I See Footnoted Common Stock 08/08/2019 \$(1) 42 D \$30.4383'd 13,685 I See Footnoted Common Stock 08/08/2019 \$(1) <t< td=""><td colspan="3"></td><td></td><td></td><td colspan="2">Code V</td><td>Amount</td><td colspan="2">(A) or (D) Price</td><td></td><td>Transaction(s)</td></t<>						Code V		Amount	(A) or (D) Price					Transaction(s)		
Common Stock 08/08/2019 500 7,2,254 D \$1,427,300 1,926,042 1 Footnoted Common Stock 08/08/2019 500 17,272 D \$32,352,750 1,908,770 I See Footnoted Common Stock 08/08/2019 500 121 D \$30,4383,600 38,941 I See Footnoted Common Stock 08/08/2019 500 1,408 D \$31,4273,000 37,533 I See Footnoted Common Stock 08/08/2019 500 337 D \$32,352,750 37,196 I See Footnoted Common Stock 08/08/2019 500 15 D \$33,0413,00 37,181 I See Footnoted Common Stock 08/08/2019 500 42 D \$30,4383,00 13,685 I See Footnoted Common Stock 08/08/2019 500 495 D \$31,4273,00 13,190 I See Footnoted Common Stock 08/08/2019 500 50 <td>Common Stock</td> <td>C</td> <td></td> <td>08/08/2019</td> <td></td> <td>S⁽¹⁾</td> <td></td> <td>6,195</td> <td>D</td> <td>\$30.43</td> <td>83(2)</td> <td>1,998,296</td> <td>I</td> <td>See Footnote⁽³⁾</td>	Common Stock	C		08/08/2019		S ⁽¹⁾		6,195	D	\$30.43	83(2)	1,998,296	I	See Footnote ⁽³⁾		
Common Stock 08/08/2019 SO 17,272 D \$32,352/60 1,908,770 T Footnote/ Footnote	Common Stock	ζ.		08/08/2019		S ⁽¹⁾		72,254	D	\$31.42		1,926,042	I	See Footnote ⁽³⁾		
Common Stock 08/08/2019 SO 7/2 D \$33,0413(**) 1,907/398 1 Footnoted Common Stock 08/08/2019 SO 121 D \$30,4383(**) 38,941 I See Footnoted Common Stock 08/08/2019 SO 1,408 D \$31,4273(**) 37,533 I See Footnoted Common Stock 08/08/2019 SO 337 D \$32,3527(**) 37,196 I See Footnoted Common Stock 08/08/2019 SO 42 D \$30,4383(**) 37,181 I See Footnoted Common Stock 08/08/2019 SO 42 D \$30,4383(**) 13,685 I See Footnoted Common Stock 08/08/2019 SO 495 D \$31,4273(**) 13,190 I See Footnoted Common Stock 08/08/2019 SO 5 D \$33,0413(**) 13,071 I See Footnoted Common Stock 08/08/2019 SO 62	Common Stock	ζ.		08/08/2019		S ⁽¹⁾		17,272	D	\$32.35	27 ⁽⁵⁾	1,908,770	I	See Footnote ⁽³⁾		
Common Stock 08/08/2019 Still 121 D \$30,4383** 38,941 I Footnoted Common Stock 08/08/2019 Still 1,408 D \$31,4273** 37,533 I See Footnoted Common Stock 08/08/2019 Still 337 D \$32,3527** 37,196 I See Footnoted Common Stock 08/08/2019 Still 15 D \$30,4383** 13,685 I See Footnoted Common Stock 08/08/2019 Still 495 D \$31,4273** 13,190 I See Footnoted Common Stock 08/08/2019 Still 119 D \$32,3527** 13,071 I See Footnoted Common Stock 08/08/2019 Still 5 D \$33,0413** 13,071 I See Footnoted Common Stock 08/08/2019 Still 62 D \$30,4383** 20,002 I See Footnoted Common Stock 08/08/2019 Still 723	Common Stock	ζ		08/08/2019		S ⁽¹⁾		772	D	\$33.04	13 ⁽⁶⁾	1,907,998	I	See Footnote ⁽³⁾		
Common Stock 08/08/2019 SO 1,408 D \$31,4273(3) 37,533 1 Footnoted Common Stock 08/08/2019 s(1) 337 D \$32,3527(5) 37,196 I See Footnoted Common Stock 08/08/2019 s(1) 15 D \$33,0413(6) 37,181 I See Footnoted Common Stock 08/08/2019 s(1) 42 D \$30,4383(2) 13,685 I See Footnoted Common Stock 08/08/2019 s(1) 495 D \$31,4273(4) 13,190 I See Footnoted Common Stock 08/08/2019 s(1) 119 D \$32,3527(5) 13,071 I See Footnoted Common Stock 08/08/2019 s(1) 5 D \$30,4383(2) 20,002 I See Footnoted Common Stock 08/08/2019 s(1) 723 D \$31,4273(4) 19,279 I See Footnoted Common Stock 08/08/2019 s(1) 723	Common Stock		08/08/2019		S ⁽¹⁾		121	D	\$30.43	83(2)	38,941	I	See Footnote ⁽⁷⁾			
Common Stock 08/08/2019 Still 15 D \$33.0413(6) 37,181 I See Frontotel Scill 15 D \$33.0413(6) 37,181 I See Frontotel Scill 15 D \$30.4383(2) 13,685 I See Frontotel Scill 15 D \$31.4273(4) 13,190 I See Frontotel Scill 19 D \$32.3527(5) 13,071 I See Frontotel Scill 19 D \$32.3527(5) 13,071 I See Frontotel Scill 19 D \$33.0413(6) 13,066 I See Frontotel Scill 19 D \$33.0413(6) 13,066 I See Frontotel Scill 19 D \$30.4383(2) 20,002 I See Frontotel Scill 19 D \$31.4273(4) 19,279 I See Frontotel Scill 19 D \$31.4273(4) 19,279 I See Frontotel Scill 19 D \$32.3527(5) 19,107 I See Frontote	Common Stock		08/08/2019		S ⁽¹⁾		1,408	D	\$31.42		37,533	I	See Footnote ⁽⁷⁾			
Common Stock 08/08/2019 s ⁽¹⁾ 15 D \$33.0413 ⁽⁶⁾ 3/,181 I Footnoted See	Common Stock		08/08/2019		S ⁽¹⁾		337	D	\$32.35	27 ⁽⁵⁾	37,196	I	See Footnote ⁽⁷⁾			
Common Stock 08/08/2019 S ⁽¹⁾ 495 D \$30.4383 ⁽²⁾ 13,190 I See Footnoted Common Stock 08/08/2019 S ⁽¹⁾ 119 D \$32.3527 ⁽⁵⁾ 13,071 I See Footnoted Common Stock 08/08/2019 S ⁽¹⁾ 5 D \$33.0413 ⁽⁶⁾ 13,066 I See Footnoted Common Stock 08/08/2019 S ⁽¹⁾ 62 D \$30.4383 ⁽²⁾ 20,002 I See Footnoted Common Stock 08/08/2019 S ⁽¹⁾ 723 D \$31.4273 ⁽⁴⁾ 19,279 I See Footnoted Common Stock 08/08/2019 S ⁽¹⁾ 723 D \$31.4273 ⁽⁴⁾ 19,279 I See Footnoted Common Stock 08/08/2019 S ⁽¹⁾ 172 D \$32.3527 ⁽⁵⁾ 19,107 I See Footnoted Common Stock 08/08/2019 S ⁽¹⁾ 172 D \$32.3527 ⁽⁵⁾ 19,107 I See Footnoted Common Stock 08/08/2019 S ⁽¹⁾ 172 D \$32.3527 ⁽⁵⁾ 19,107 I See Footnoted Common Stock 08/08/2019 S ⁽¹⁾ 172 D \$32.3527 ⁽⁵⁾ 19,107 I See	Common Stock		08/08/2019		S ⁽¹⁾		15	D	\$33.04	13 ⁽⁶⁾	37,181	I	See Footnote ⁽⁷⁾			
Common Stock 08/08/2019 S ⁽¹⁾ 119 D \$32.3527 ⁽⁵⁾ 13,071 I See Footnoted Common Stock 08/08/2019 S ⁽¹⁾ 5 D \$33.0413 ⁽⁶⁾ 13,066 I See Footnoted Common Stock 08/08/2019 S ⁽¹⁾ 62 D \$30.4383 ⁽²⁾ 20,002 I See Footnoted Common Stock 08/08/2019 S ⁽¹⁾ 723 D \$31.4273 ⁽⁴⁾ 19,279 I See Footnoted Common Stock 08/08/2019 S ⁽¹⁾ 172 D \$32.3527 ⁽⁵⁾ 19,107 I See Footnoted Common Stock 08/08/2019 S ⁽¹⁾ 172 D \$32.3527 ⁽⁵⁾ 19,107 I See Footnoted Common Stock 08/08/2019 S ⁽¹⁾ 172 D \$32.3527 ⁽⁵⁾ 19,107 I See Footnoted Common Stock 08/08/2019 S ⁽¹⁾ 172 D \$32.3527 ⁽⁵⁾ 19,107 I See Footnoted Common Stock 08/08/2019 S ⁽¹⁾ 172 D \$32.3527 ⁽⁵⁾ 19,107 I See Footnoted Common Stock 08/08/2019 S ⁽¹⁾ 172 D \$32.3527 ⁽⁵⁾ 19,107 I See	Common Stock	ζ.		08/08/2019		S ⁽¹⁾		42	D	\$30.43	83(2)	13,685	I	See Footnote ⁽⁸⁾		
Common Stock 08/08/2019 Still 119 D \$32.3527(5) 13,071 I Footnote(5) Common Stock 08/08/2019 Still 5 D \$33.0413(6) 13,066 I See Footnote(5) Common Stock 08/08/2019 Still 62 D \$30.4383(2) 20,002 I See Footnote(5) Common Stock 08/08/2019 Still 723 D \$31.4273(4) 19,279 I See Footnote(5) Common Stock 08/08/2019 Still 172 D \$32.3527(5) 19,107 I See Footnote(6) Common Stock 08/08/2019 Still 172 D \$32.3527(5) 19,107 I See Footnote(6) Common Stock 08/08/2019 Still 172 D \$32.3527(5) 19,107 I See Footnote(6)	Common Stock	ζ		08/08/2019		S ⁽¹⁾		495	D	\$31.42	.73 ⁽⁴⁾	13,190	I	See Footnote ⁽⁸⁾		
Common Stock 08/08/2019 S ⁽¹⁾ 5 D \$33.0413 ⁽⁶⁾ 13,066 I Footnoted Common Stock 08/08/2019 S ⁽¹⁾ 62 D \$30.4383 ⁽²⁾ 20,002 I See Footnoted Common Stock 08/08/2019 S ⁽¹⁾ 723 D \$31.4273 ⁽⁴⁾ 19,279 I See Footnoted Common Stock 08/08/2019 S ⁽¹⁾ 172 D \$32.3527 ⁽⁵⁾ 19,107 I See Footnoted Common Stock 08/08/2019 S ⁽¹⁾ 172 D \$32.3527 ⁽⁵⁾ 19,107 I See Footnoted Common Stock 08/08/2019 S ⁽¹⁾ 172 D \$32.3527 ⁽⁵⁾ 19,107 I See	Common Stock	ζ.		08/08/2019		S ⁽¹⁾		119	D	\$32.35	27 ⁽⁵⁾	13,071	I	See Footnote ⁽⁸⁾		
Common Stock 08/08/2019 S ⁽¹⁾ 62 D \$30.4383 ⁽²⁾ 20,002 I Footnote ⁽¹⁾ Common Stock 08/08/2019 S ⁽¹⁾ 723 D \$31.4273 ⁽⁴⁾ 19,279 I See Footnote ⁽¹⁾ Common Stock 08/08/2019 S ⁽¹⁾ 172 D \$32.3527 ⁽⁵⁾ 19,107 I See Footnote ⁽¹⁾ Common Stock 08/08/2019 S ⁽¹⁾ 20 D \$32.3527 ⁽⁵⁾ 19,000 I See	Common Stock	ζ.		08/08/2019		S ⁽¹⁾		5	D	\$33.04	13 ⁽⁶⁾	13,066	I	See Footnote ⁽⁸⁾		
Common Stock 08/08/2019 S ⁽¹⁾ 723 D \$31.4273 ⁽⁴⁾ 19,279 I Footnote ⁽¹⁾ Common Stock 08/08/2019 S ⁽¹⁾ 172 D \$32.3527 ⁽⁵⁾ 19,107 I See Footnote ⁽¹⁾ Common Stock 08/08/2019 S ⁽¹⁾ P \$32.413 ⁽⁶⁾ 10,000 I See	Common Stock		08/08/2019	/2019			62	D	\$30.4383(2)		20,002	I	See Footnote ⁽⁹⁾			
Common Stock 08/08/2019 St. 1/2 D \$32.352/5 19,107 I Footnoted	Common Stock	ζ.	08/08/201			S ⁽¹⁾		723	23 D \$31.427		19,279		I	See Footnote ⁽⁹⁾		
	Common Stock		08/08/2019		S ⁽¹⁾		172	D	\$32.35	27 ⁽⁵⁾	19,107	I	See Footnote ⁽⁹⁾			
	Common Stock		08/08/2019		S ⁽¹⁾		8	D	\$33.04	13 ⁽⁶⁾	19,099	I	See Footnote ⁽⁹⁾			
Common Stock 8,484 D	Common Stock	ζ.										8,484	D			

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Ta Date (Month/Day/Year)	HelpenBeriva Execution Date, if any (e.g., p (Month/Day/Year)	Ut&de(ecuri action (Asla,	Warikants, Securities Acquired (A) or	if Chiefies Expiration Da ANHONS	issedaet, ^{ate} ନ୍ୟୁନ୍ତ ନ୍ୟୁନ୍ତ	Underl Deriva Securi	Willes)	y ⁸ GyiP1 eft Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
1. Title of Derivative Security (Instr. 3)	Derivative Conversion Date Gecurity or Exercise (Month		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr.		Disposed 5f (Dymber (firstr. 3, 4 Deciration	6. Date Exercisable and Expiration Date (Month/Day/Year)		and 4) 7. Title and Amount of Securities Underlying			¶rakhยมฝะต่อเด(s) (Instrative Securities	10. Ownership Form: Direct (D)	11. Nature of Indirect Beneficial Ownership
	Derivative Security		(iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii	Code	v	Acquired (A) or Disposed of (D) (Ma)str &D4	Date Exercisable	Expiration Date	Deriva Securi	i Memount yo(instr. 3 Number of Shares	(Owned Following Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	(Instr. 4)
Evnlanation	volanation of Responses:					and 5)		7		,				

- 1. The sale of these shares were effected pursuant to Rule 10b5-1 trading plans adopted by each of Polaris Venture Partners V, L.P. ("PVP V"), Polaris Venture Partners Entrepreneurs' Fund V, L.P. ("PVPE V"), Polaris Venture Partners Founders' Fund V, L.P. ("PVPFF V") and Polaris Venture Partners Special Founders' Fund V, L.P. ("PVPSFF V") olyumber4, 2019.
- 2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple trangateons at price xpiration from \$30.00 \$30.09, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities (2xd ang) (Commands) from Exercise 11 to 12 to 13 to 14 to 15 to forth in this footnote (2) to this Form 4.
- 3. The reportable securities are owned directly by PVP V. Polaris Venture Management Co. V, L.L.C. ("PVM V") is the general partner of PVP V. The Reporting Person, a member of the Issuer's Board of Directors, is a member of PVM V. Each of Jonathan A. Flint ("Flint") and Terrance G. McGuire ("McGuire") are the managing members of PVM V. Each of Flint, McGuire and the Reporting Person, in their respective capacities with respect to PVM V, may be deemed to have shared voting and dispositive power over the shares held by PVP V. Each of PVM V, Flint, McGuire and the Reporting Person disclaims beneficial ownership of these securities and this report shall not be deemed an admission that any one of them is the beneficial owner of such securities for purposes of Section 16 or for any other purpose, except to the extent of their respective pecuniary interests therein.
- 4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$31.00 to \$31.98, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote (4) to this Form 4.
- 5. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$32.00 to \$32.98, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote (5) to this Form 4.
- 6. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$33.02 to \$33.075, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote (6) to this Form 4.
- 7. The reportable securities are owned directly by PVPE V. PVM V is the general partner of PVPE V. The Reporting Person, a member of the Issuer's Board of Directors, is a member of PVM V. Each of Flint and McGuire are the managing members of PVM V. Each of Flint, McGuire and the Reporting Person, in their respective capacities with respect to PVM V, may be deemed to have shared voting and dispositive power over the shares held by PVPE V. Each of PVM V, Flint, McGuire and the Reporting Person disclaims beneficial ownership of these securities and this report shall not be deemed an admission that any one of them is the beneficial owner of such securities for purposes of Section 16 or for any other purpose, except to the extent of their respective pecuniary interests therein.
- 8. The reportable securities are owned directly by PVPFF V. PVM V is the general partner of PVPFF V. The Reporting Person, a member of the Issuer's Board of Directors, is a member of PVM V. Each of Flint and McGuire are the managing members of PVM V. Each of Flint, McGuire and the Reporting Person, in their respective capacities with respect to PVM V, may be deemed to have shared voting and dispositive power over the shares held by PVPFF V. Each of PVM V, Flint, McGuire and the Reporting Person disclaims beneficial ownership of these securities and this report shall not be deemed an admission that any one of them is the beneficial owner of such securities for purposes of Section 16 or for any other purpose, except to the extent of their respective pecuniary interests therein.
- 9. The reportable securities are owned directly by PVPSFF V. PVM V is the general partner of PVPSFF V. The Reporting Person, a member of the Issuer's Board of Directors, is a member of PVM V. Each of Flint and McGuire are the managing members of PVM V. Each of Flint, McGuire and the Reporting Person, in their respective capacities with respect to PVM V, may be deemed to have shared voting and dispositive power over the shares held by PVPSFF V. Each of PVM V, Flint, McGuire and the Reporting Person disclaims beneficial ownership of these securities and this report shall not be deemed an admission that any one of them is the beneficial owner of such securities for purposes of Section 16 or for any other purpose, except to the extent of their respective pecuniary interests therein.

Remarks:

/s/ Lauren Crockett, Attorney-08/12/2019 in-Fact for Bryce Youngren

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.