SEC Form 4	
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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPRO	VAL
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hours per response:

a) of the Securities Exchange Act of 1934

Filed pursuant to Section 16(a) of the Securities Exchange A	ct of 1934
or Section 30(h) of the Investment Company Act of 19	40

			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Cardlytics, Inc.</u> [ CDLX ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Evalis David	Thomas		<u> </u>		Director	10% Owner			
·				x	Officer (give title below)	Other (specify below)			
(Last)	(First) (Middle)		3. Date of Earliest Transaction (Month/Day/Year)		,	,			
C/O CARDLYTICS, INC.			08/11/2020		Chief Administrativ	e Officer			
675 PONCE DE	LEON AVENUE	NE, SUITE 6000							
,			4. If Amendment, Date of Original Filed (Month/Day/Year)		idual or Joint/Group Filing (	Check Applicable			
(Street)				Line)					
ATLANTA	GA	30308			Form filed by One Report	ang Person			
,					Form filed by More than 0 Person	One Reporting			
(City)	(State)	(Zip)							

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed     3.       Execution Date, if any (Month/Day/Year)     3.       Code (Instr. 8)     4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	08/11/2020		М		208	A	<b>\$0</b> <sup>(1)</sup>	12,987	D	
Common Stock	08/11/2020		М		741	A	\$20	13,728	D	
Common Stock	08/11/2020		М		700	A	\$30.44	14,428	D	
Common Stock	08/11/2020		М		700	A	\$20	15,128	D	
Common Stock	08/11/2020		S		13,019	D	\$75.55 <sup>(2)</sup>	2,109	D	
Common Stock	08/11/2020		S		401	D	\$76.05 <sup>(3)</sup>	1,708	D	
Common Stock	08/12/2020		М		5,509	A	\$20	7,217	D	
Common Stock	08/12/2020		М		4,769	A	\$30.44	11,986	D	
Common Stock	08/12/2020		М		4,769	A	\$20	16,755	D	
Common Stock	08/12/2020		S		15,047	D	\$77.14 <sup>(4)</sup>	1,708	D	
Common Stock	08/13/2020		<b>S</b> <sup>(5)</sup>		86	D	\$79.62	1,622	D	

 
 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(0.3., pare, cane, cane, concernation and community)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4		Expiration Date Amount of (Month/Day/Year) Securities Underlyin Derivative			Expiration Date		te Amount of		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares									
Restricted Stock Units	(1)	08/11/2020		A		208		(6)	(6)	Common Stock	208	\$0	208	D						
Restricted Stock Units	(1)	08/11/2020		М			208	(6)	(6)	Common Stock	208	\$0	0	D						
Employee Stock Option (Right to Buy)	\$20	08/11/2020		М			741	(6)	08/02/2026	Common Stock	741	\$0	5,509	D						
Employee Stock Option (Right to Buy)	\$30.44	08/11/2020		М			700	(7)	07/07/2027	Common Stock	700	\$0	11,019	D						
Employee Stock Option (Right to Buy)	\$20	08/11/2020		М			700	(8)	12/06/2026	Common Stock	700	\$0	7,894	D						

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deriv Secu Acqu (A) o Disp of (D (Inst	5. Number of Derivative Securities Acquired A) or Disposed of (D) Instr. 3, 4 and 5)			Amount of			9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (Right to Buy)	\$20	08/12/2020		М			5,509	(6)	08/02/2026	Common Stock	5,509	\$0	0	D	
Employee Stock Option (Right to Buy)	\$30.44	08/12/2020		М			4,769	(7)	07/07/2027	Common Stock	4,769	\$0	6,250	D	
Employee Stock Option (Right to Buy)	\$20	08/12/2020		М			4,769	(8)	12/06/2026	Common Stock	4,769	\$0	3,125	D	

#### Explanation of Responses:

1. Each restricted stock unit ("RSU") represents a contingent right to receive one share of common stock of the Issuer, or at the election of the Issuer, its cash equivalent.

2. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$75.00 to \$75.98, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes (1), (2) and (3) to this Form 4.

3. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$76.01 to \$76.09, inclusive.

4. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$76.64 to \$77.58, inclusive.

5. Shares sold to satisfy withholding tax obligations upon the delivery of shares of common stock for RSUs granted on August 11, 2020.

6. Fully vested.

7. This option becomes exercisable with respect to one quarter (1/4) of the shares of stock subject to this option on April 1, 2018 (the "Initial Vesting Date"). The option becomes exercisable in respect of the remaining shares in thirty-six (36) equal monthly installments commencing one (1) month after the Initial Vesting Date, provided the Reporting Person continuously provides service to the Issuer through each vesting period.

8. This option became exercisable with respect to one quarter (1/4) of the shares of stock subject to this option on December 6, 2017 (the "Initial Vesting Date"). The options becomes exercisable in respect of the remaining shares in thirty-six (36) equal monthly installments commencing one (1) month after the Initial Vesting Date, provided the Reporting Person continuously provides service to the Issuer through each vesting period.

### **Remarks:**

/s/ Kirk Somers, Attorney-in-Fact 08/1

08/13/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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