SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* BALEN JOHN V	2. Date of Event Requiring Stateme (Month/Day/Year) 02/08/2018		3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Cardlytics, Inc.</u> [ CDLX ]					
(Last) (First) (Middle) C/O CANAAN PARTNERS			4. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner			5. If Amendment, Date of Original Filed (Month/Day/Year)		
285 RIVERSIDE AVENUE, SUITE 250 (Street) WESTPORT CT 06880	-		Officer (give title below)	Other (spe below)	cify		cable Line) Form filed by Form filed by	/Group Filing (Check y One Reporting Person y More than One
(City) (State) (Zip)	-						Reporting P	erson
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			Amount of Securities eneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercis Expiration Dat (Month/Day/Ye	e	3. Title and Amount of Securi Underlying Derivative Securi	ty (Instr. 4) Conve or Exe		cise Form:	Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Expiration Date	Title	Amount or Number of Shares	Price of Derivati Security	ive	Direct (D) or Indirect (I) (Instr. 5)	
Explanation of Responses:				*	<u>a</u>		·	·

Remarks

No securities beneficially owned.

No securities are beneficially owned.

/s/ John V. Balen

02/08/2018

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.