SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] SOMERS KIRK			2. Issuer Name and Ticker or Trading Symbol Cardlytics, Inc. [CDLX]		tionship of Reporting Pers all applicable) Director Officer (give title below)	son(s) to Issuer 10% Owner Other (specify below)
(Last) (First) (Middle) C/O CARDLYTICS, INC. 675 PONCE DE LEON AVENUE NE, SUITE 6000 (Street)			3. Date of Earliest Transaction (Month/Day/Year) 02/10/2021		Chief Legal & Priva	,
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person		
ATLANTA	GA	30308			Form filed by More than Person	0
(City)	(State)	(Zip)				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	02/10/2021		S ⁽¹⁾		200	D	\$149.18 ⁽²⁾	51,909	D	
Common Stock	02/10/2021		S ⁽¹⁾		860	D	\$150.37 ⁽³⁾	51,049	D	
Common Stock	02/10/2021		S ⁽¹⁾		500	D	\$151.41 ⁽⁴⁾	50,549	D	
Common Stock	02/10/2021		S ⁽¹⁾		2,765	D	\$152.46 ⁽⁵⁾	47,784	D	
Common Stock	02/10/2021		S ⁽¹⁾		700	D	\$153.29 ⁽⁶⁾	47,084	D	
Common Stock	02/10/2021		S ⁽¹⁾		900	D	\$154.6 ⁽⁷⁾	46,184	D	
Common Stock	02/10/2021		S ⁽¹⁾		1,600	D	\$155.64 ⁽⁸⁾	44,584	D	
Common Stock	02/10/2021		S ⁽¹⁾		475	D	\$156.32 ⁽⁹⁾	44,109	D	
Common Stock	02/10/2021		S ⁽¹⁾		300	D	\$157.92 ⁽¹⁰⁾	43,809	D	
Common Stock	02/10/2021		S ⁽¹⁾		100	D	\$158.95	43,709	D	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) o Dispo of (D (Insti	5. Number 6. Date Exercisable and of Expiration Date (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. These transactions were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on November 13, 2020.

2. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$148.73 to \$149.63, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes (2), (3), (4), (5), (6), (7), (8), (9) and (10) to this Form 4.

3. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$149.89 to \$150.75, inclusive.

4. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$150.93 to \$151.91, inclusive.

5. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$152.00 to \$152.94, inclusive.

6. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$153.03 to \$153.79, inclusive.

7. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$154.10 to \$155.02, inclusive. 8. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$155.11 to \$156.10, inclusive.

9. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$156.14 to \$156.58, inclusive.

10. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$157.44 to \$158.29, inclusive. **Remarks:**

/s/ Kirk L. Somers

02/12/2021

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.