SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Instruction 1(b). File			ed pursuant to Section 16(a) of the Securities Exchange Act of 193	34	· · ·	•
			or Section 30(h) of the Investment Company Act of 1940			
1. Name and Address of Reporting Person [*] Laube Lynne Marie			2. Issuer Name and Ticker or Trading Symbol Cardlytics, Inc. [CDLX]		ationship of Reporting Pe k all applicable) Director	erson(s) to Issuer 10% Owner
(Last) C/O CARDLY 675 PONCE I		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/20/2019	X	Officer (give title below) Chief Operation	Other (specify below) ng Officer
(Street) ATLANTA (City)	GA (State)	30308 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Group Fili Form filed by One Re Form filed by More th Person	eporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table 1 Non Derivative decounties Acquired, Disposed of, or Denenotary owned											
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	11/20/2019		S ⁽¹⁾		2,604	D	\$58.4 ⁽²⁾	280,128 ⁽³⁾	D		
Common Stock	11/20/2019		S ⁽¹⁾		3,136	D	\$59.07(4)	276,992	D		
Common Stock	11/22/2019		S ⁽⁵⁾		436	D	\$56.76 ⁽⁶⁾	19,589	I	See Footnote ⁽⁷⁾	
Common Stock	11/22/2019		S ⁽⁵⁾		294	D	\$57.49 ⁽⁸⁾	19,295	I	See Footnote ⁽⁷⁾	
Common Stock	11/22/2019		S ⁽⁹⁾		436	D	\$56.76 ⁽⁶⁾	19,589	I	See Footnote ⁽¹⁰⁾	
Common Stock	11/21/2019		S ⁽⁹⁾		294	D	\$57.49 ⁽⁸⁾	19,295	Ι	See Footnote ⁽¹⁰⁾	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secur Acqu (A) or Dispo of (D) (Instr	5. Number of Expiration Date Derivative Securities (A) or Disposed of (D) (Instr. 3, 4 and 5)		ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. These sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on September 5, 2018, as amended May 14, 2019.

2. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$57.79 to \$58.77, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes (2), (4), (6) and (8) to this Form 4.

3. Includes 475 shares of Common Stock acquired under the Issuer's Employee Stock Purchase Plan.

4. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$58.79 to \$59.36, inclusive.

5. These sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the trustees of the 2013 Lynne Marie Laube GRAT fbo Keegan George Allbright (the "KGA GRAT") on May 14, 2019.

6. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$56.25 to \$57.24, inclusive.

7. The reportable securities are held by the KGA GRAT. The Reporting Person is the trustee of the KGA GRAT.

8. The price reported is a weighted average sales price. These shares were sold in multiple transactions at prices ranging from \$57.25 to \$57.86, inclusive.

9. These sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the trustees of the 2013 Lynne Marie Laube GRAT fbo Hayley Marie Allbright (the "HMA GRAT") on May 14, 2019.

10. The reportable securities are held by the HMA GRAT. The Reporting Person is the trustee of the HMA GRAT.

Remarks:

/s/ Kirk Somers, Attorney-in-

Fact

<u>11/22/2019</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.